FORM PTO-1390 U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. TRANSMITTAL LETTER TO THE UNITED STATES 2958-135 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. Application No. (if known) **CONCERNING A FILING UNDER 35 U.S.C. 371** INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. PCT/EP2004/008053 July 19, 2004 July 18, 2003 TITLE OF INVENTION 7A5/PROGNOSTIN AND USE THEREOF FOR THE DIAGNOSTICS AND THERAPY OF TUMORS APPLICANT(S) FOR DO/EO/US Ulrike STEIN, Holger SCHWABE, Wolfgang WALTHER, Peter Michael SCHLAG Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371 This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. [] The US has been elected (Article 31). [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. [] is attached hereto (required only if not communicated by the International Bureau). b. [X] has been communicated by the International Bureau. c. [] is not required, as the application was filed in the United States Receiving Office (RO/US) 6. [X] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. [X] is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). [] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. [] are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. c. [] have not been made; however, the time limit for making such amendments has NOT expired. d. [] have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). [] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. [] An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). ITEMS 11. TO 20. below concern other document(s) or information included: 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. [X] A preliminary amendment. 14. [X] An Application Data Sheet under 37 CFR 1.76. 15. [] A substitute specification. 16. [] A power of attorney and/or change of address letter. 17. [X] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825 A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. [X] A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

IAP15 Rec'd PCT/PTO 18 JAN 2006

U.S. APPLICATION New Application	509. (B~2)3	INTERNATIONAL APPLICATION NO. PCT/EP2004/008053		ATTORNEY DOCKE 2958-135	ET NO.	
20. X Other items or information: [x] RO/101 [] RO/105 [x] ISA/210(ISR) [x] ISA/220 [x] IDS/237 [] IB/308 [] IB 401 [] IB/409(IPER) [] IB/416 [] Published Application WO/						
21. The following fees are submitted:				CALCULATIONS	PTO USE ONLY	
X Basic National Fee \$300.00				\$ 300		
22. X Examination Fee If the written opinion prepared by ISA/US or the IPER prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)				\$ 200		
23. X Search Fee If the written opinion of the ISA/US or the IPER prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Search Authority \$100.00 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400.00 All other situations \$500.00				\$ 400		
	\$ 900					
Additional fee for (excluding sequential The fee is \$250.0	\$					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Rate			
- 100 =	/ 50 =		x 250	\$		
Surcharge of \$130.00 for 30 months from the ear		\$				
Claims	Number Filed	Number Extra	Rate			
Total Claims	42 -20 =	22	X \$50.00	\$ 1,100		
Independent Claims	5 -3=	2	X \$200.00	\$ 400		
Multiple dependent cla	im(s) (if applicable	9)	+ \$360.00	\$		
	\$ 2,400					
Applicant claims small entity status. The fees indicated above are reduced by 1/2.				\$		
	\$ 2,400					
Processing fee of \$130 priority date (37 CFR 1.	s					
	\$ 2,400					
Fee for recording the er accompanied by an app	nclosed assignme	\$				
		\$ 2,400				
TOTAL FEES ENCLOSED =				Amount to be refunded	\$	
				Amount to be charged	\$	

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U.S. TIPLI New Losic	INTERNATIONAL APPLICATION NO. PCT/EP2004/008053	ATTORNEY DOCKET NO. 2958-135			
a. 🗆	a. A check in the amount of \$ to cover the above fees is enclosed.				
b. X	Please charge my Deposit Account No. 02-2135 in the amount of \$2,400 to cover the above fees. A duplicate copy of this sheet is enclosed.				
c. X	c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2135. A duplicate copy of this sheet is enclosed.				
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Customer No. 06449 Robert B. Murray					
1425 K St.,	n, D.C. 20005	Name 22,980 Registration Number			

Certificate of Verification

I, Dr. Jan B. Krauss, residing at Astallerstrasse 12, 80339 Munich, Germany, hereby state that I am well acquainted with the German and English languages and that, to the best of my knowledge, the attached document is a true and complete translation of International Patent Application PCT/EP2004/008053 into the English language.

Munich, October 25, 2005

Dr. Jan B. Krauss